

AMC REPO CLEARING LIMITED

Whistle Blower Policy

Objective –

The Company is committed to adhere to the highest standards of ethical, moral, and legal conduct of business operations. To maintain these standards, AMC Repo encourages employees who have concerns about suspected misconduct, to come forward and express these concerns without fear of punishment or unfair treatment. This policy aims to provide an avenue for employee to raise concerns of any violations of legal or regulatory requirements, incorrect or misrepresentation of any financial statements and reports, etc.

The policy scope is applied to all the employees, trainees, auditors and third-party consultants associated with AMC Repo. Serious concerns can be brought to the notice of the Management Team.

Guidelines –

Following guidelines are to be followed with respect to this policy

1. Employee should first try to resolve complaints with their Reporting Authority.
2. If the employee prefers, he/ she may elect to file a verbal or written complaint with the HR / Management.
3. A detailed description of the offensive conduct should be provided along with facts/ evidence that can be shared.
4. Disciplinary action may be required to ensure an effective resolution and compliance with this policy.
5. Any communication in respect of alleged wrongful conduct should be addressed to the Chairman of the Audit Committee of the Company.